

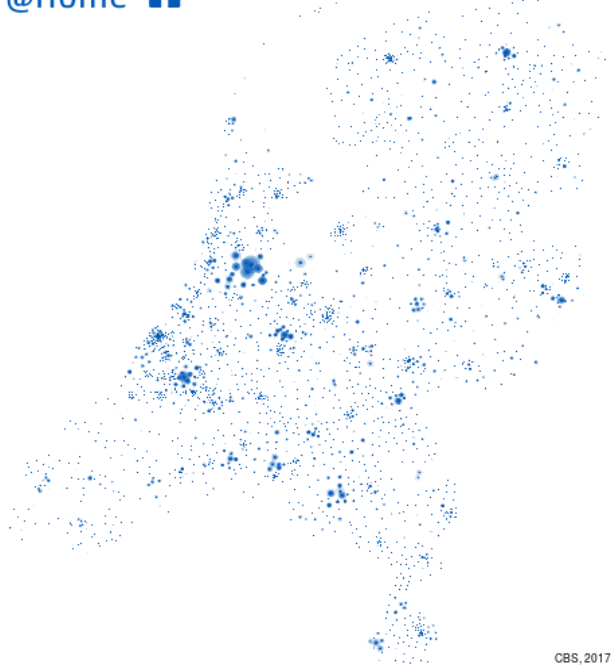
Social Aspects of AI

A Social Data Science Perspective
Frauke Kreuter LMU Munich & UMD



Sustainable Communities

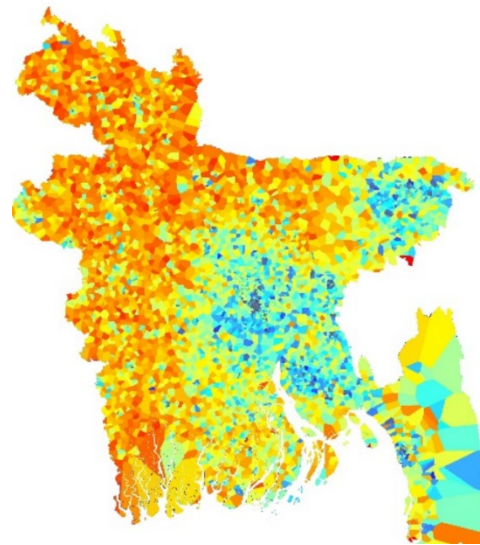
@Home



CBS, 2017

Source: <https://www.cbs.nl/en-gb/our-services/innovation/project/towards-motives-behind-mobility>

No Poverty

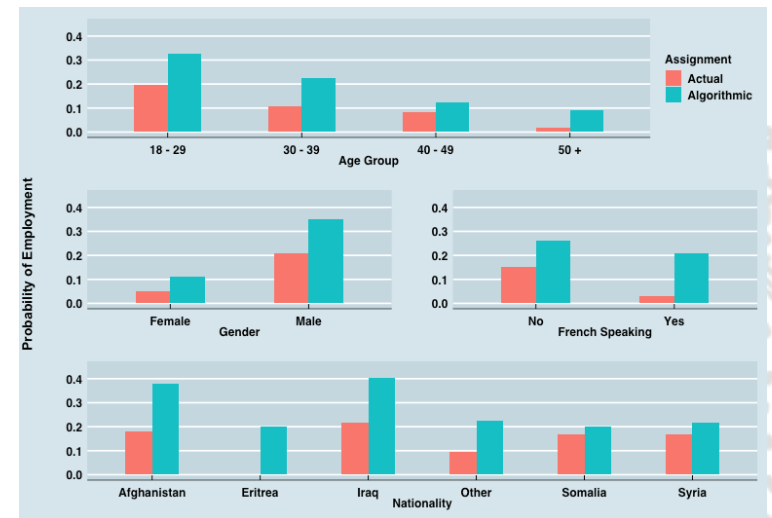


<https://doi.org/10.6084/m9.figshare.c.3662800.v1>

See also Steele, J. et al. (2017): „Mapping poverty using mobile phone and satellite data.“, *Journal of the Royal Society Interface*, 14.

See also Jean, N. et al. (2016): „Use of satellite imagery and machine learning to predict poverty.“, *Science*, 353(6301), 790-794.

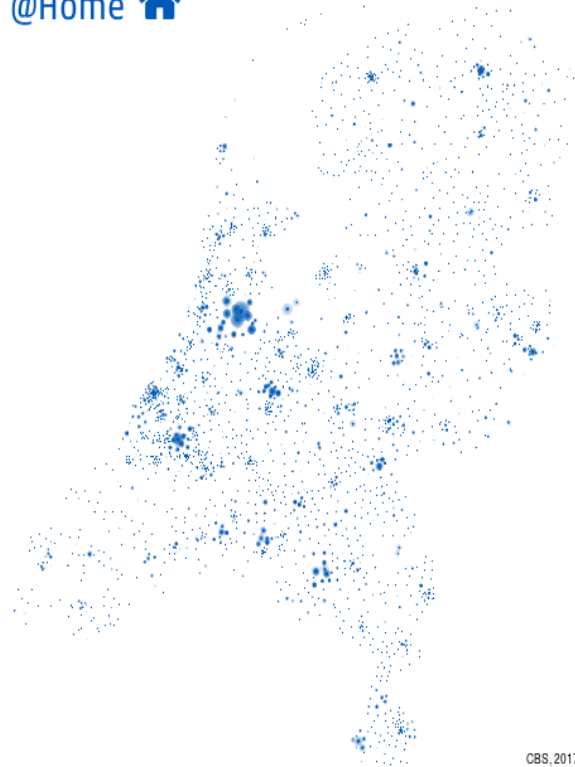
Decent Work - Economic Growth



See also Bansak, K. et al. (2018) Improving refugee integration through data-driven algorithmic assignment. *Science*, 359, 6373, 325-329.

Mobility and Poverty (UN Sustainable Development Goals)

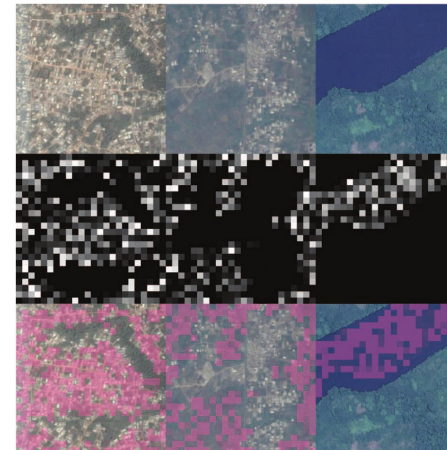
@Home 



CBS, 2017



- Cell tower usage predicts household income in Bangladesh (red areas < \$2.50/day)
Source: Steele JE et al. 2017 Mapping poverty using mobile phone and satellite data. J. R. Soc. Interface 14



- Nature paper showcases use of satellite imagery and machine learning to predict poverty
Source: Jean et al. (2016): Nature, 353(6301), 790-794.

Source: <https://www.cbs.nl/en-gb/our-services/innovation/project/towards-motives-behind-mobility>

Profiling of the Unemployed

Loxha and Morgandi, 2014; Desiere et al. 2019; Hangartner et al. 2021, Nature

Human Decider: Who will get what support, when

Rule Based: Enrollment into support program follows fixed rules

Algorithm Based: AI models predicts who is most likely to succeed where

Problem: inefficient, subjective, ...

Problem: ineffective, ...

Hope: efficient, effective, objective

Figure: Stylized timeline of **algorithmic profiling** across OECD

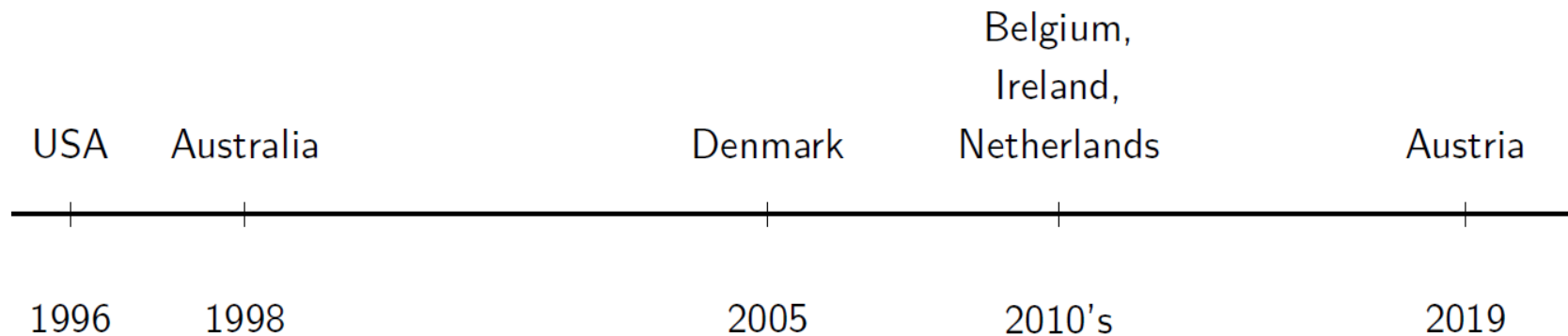


Photo by Souvik Banerjee on Unsplash



LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN

- 1. Decisions about fairness are independent of AI**
- 2. Data access and data linkage are indispensable**
- 3. Contextual integrity as guiding principle**
- 4. Two new initiatives @ LMU**



1. Decisions about fairness are independent of AI

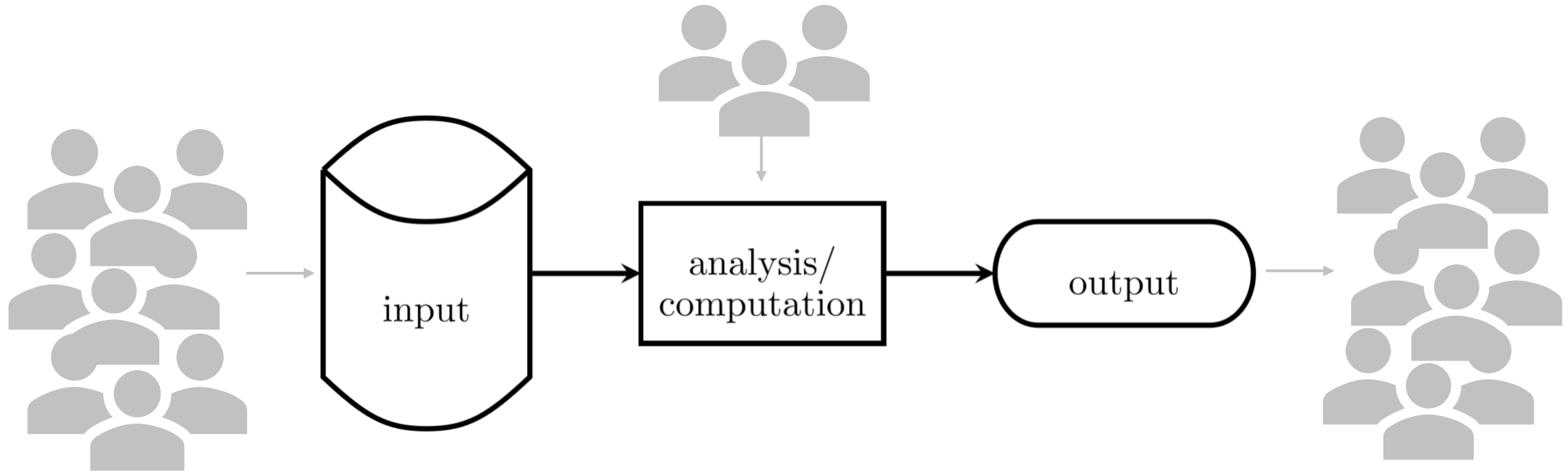
2. Data access and data linkage are indispensable

3. Contextual integrity as guiding principle

4. Two new initiatives @ LMU



Decisions ... are made throughout the AI pipeline

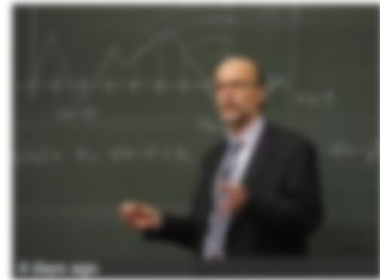


Good AI rests on good data

Google Image
Search:

“University
Professor”

June 12, 2018;
July 15, 2019



Graduate: Facing Issues With 'Yale My ...
shutterstock.com



Professor Images, Stock Photos ...
shutterstock.com



How to Pick the Best Professors - Fastweb!
fastweb.com



Professor Images, Stock Photos ...
shutterstock.com



Sorry, but imagining you're a professor ...
dipont.com.au



My Nobel Prize Money ...
goodshutterstock.com



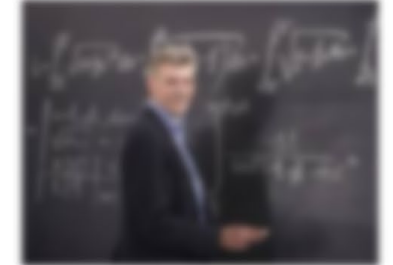
Professor Images, Stock Photos ...
shutterstock.com



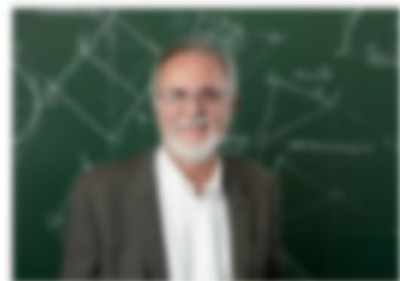
Associate Professor Frederick Douglas ...
frederick.edu



The Roles of a Professor - Open.com
work.open.com



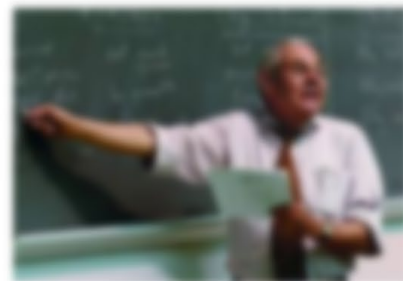
Meeting With A College Professor
admission.com



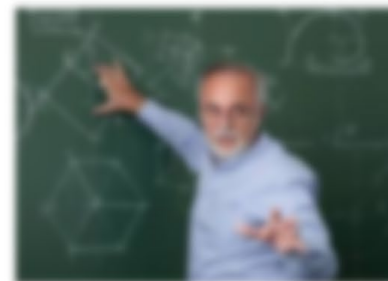
Average College Professor Salary 2018 ...



The Professor (2018) - IMDb



Do You Want to Be a Professor? Why ...



Professor Profiles - Reflector Magazine



Add-Drug Dilemma: My Professor Is ...



LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN

Good AI rests on good data

Google Image
Search:
"University
Professor"

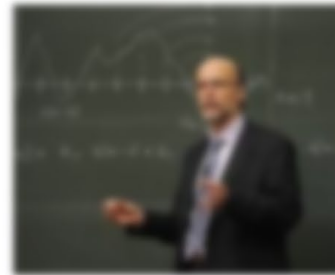
July 19, 2019



The Roles of a Professor | Chron.com
www.chron.com



UC regents appoint Dr. Owen White ...
newsroom.ucdavis.edu



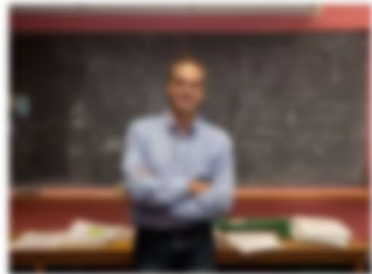
University Lecturer Job Description
northwest.edu.au



UM math professor Jim Davis selected ...
news.umich.edu



University Professors
stanford.edu



Lectures for One Day University ...
news.dartmouth.edu



Robert J. Field | Great Wide School ...
great.edu



Assistant Professor in the School of ...
jobs.berkeley.edu



Maths Whore named University Profes ...
news.harvard.edu



Become a College Music Teacher | Job ...
careermonks.com



Nadia El-Bassal appointed to ...
californiaagriculture.com



Faculty Profiles - Seton Hall University
shu.edu



30 Most Innovative Women Profes ...
bestwomeninbusiness.com



Professor Images, Stock Photos ...
shutterstock.com



What Makes A Good Teacher? 8 Tips Th ...
careersite.com

AI is not unfair by itself

- We must decide on the justice principles
- This is true for AI and human decisions

Theory (section)	Distribution Principle	Illustration (Box 1)
Egalitarianism (3.1)	Allocate resource amount R to individual X iff the current resource stock Y of X is such that giving R to X minimizes overall inequality across all individuals' resource stocks.	Allocate support programs such that all jobseekers are eventually served equally.
Desert (3.2)	Allocate amount R of the resource to individual X iff X deserves R . X deserves R by virtue of having attribute (desert-base) Y .	Allocate support programs according to jobseekers' previous contribution to public welfare or, alternatively, to historically marginalized groups.
Sufficientarianism (3.3)	Allocate amount R of the resource to individual X iff X needs R . X needs R iff the stock Y of resources that X has in the absence of R is below the threshold of resources that individuals should minimally have.	Focus allocation of support programs on jobseekers whose socio-economic resources fall below a pre-determined threshold.
Prioritarianism (3.4)	Allocate amount R of the resource to individual X iff the allocation of R to X has the highest moral value Y among all possible allocations. Allocations have decreasing marginal moral value: The more resources individual X already has, the lower the moral value of allocating additional resources to X .	Prioritize allocation of support programs to jobseekers according to their level of economic hardship or social exclusion.
Equality of opportunity (3.5)	Allocate equal opportunity to receive amount R of the resource to all individuals X with the same attribute Y .	Ensure that all jobseekers who are equally eligible for support have the same real opportunity of being assigned to support programs.



LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN









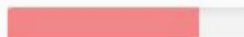









1. Decisions about fairness are independent of AI
- 2. Data access and data linkage are indispensable**
3. Contextual integrity as guiding principle
4. Two new initiatives @ LMU



Fairness Challenge

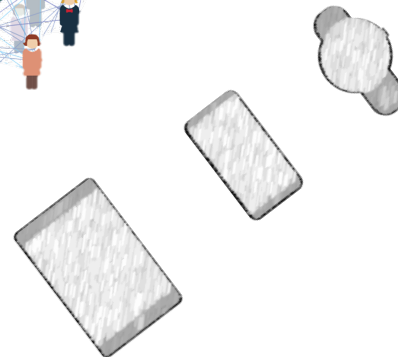
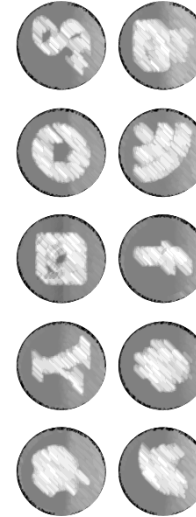
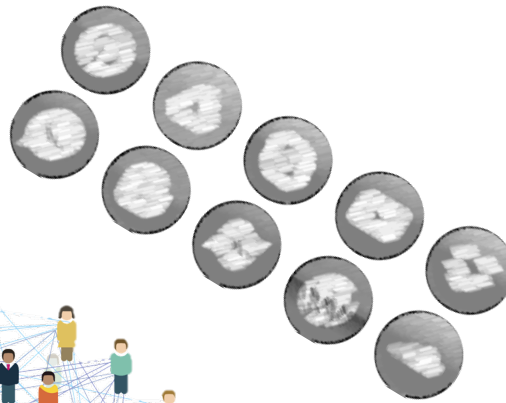
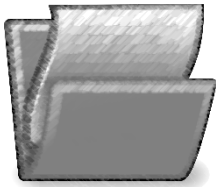
Imperfect training data → many subpopulations

- *Misrepresentation of subpopulations affects accuracy and can have considerable real-world consequences (Buolamwini 2019)*

Gender Classifier	Darker Male	Darker Female	Lighter Male	Lighter Female	Largest Gap
 Microsoft	94.0% 	79.2% 	100% 	98.3% 	20.8% 
 FACE++	99.3% 	65.5% 	99.2% 	94.0% 	33.8% 
 IBM	88.0% 	65.3% 	99.7% 	92.9% 	34.4% 

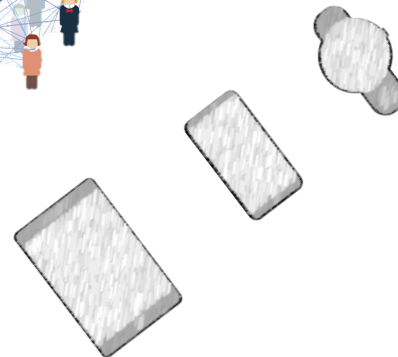
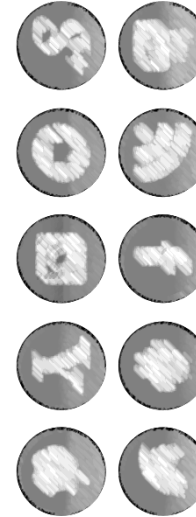
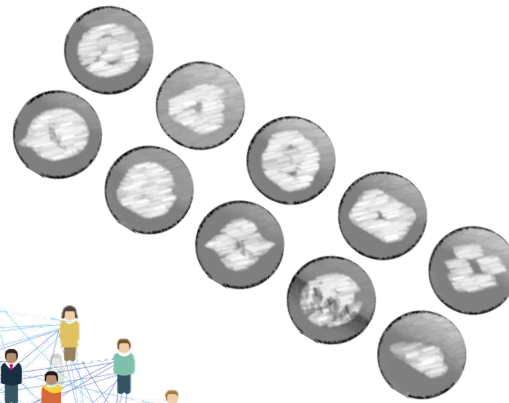
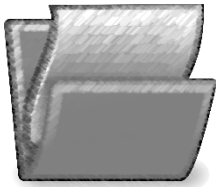


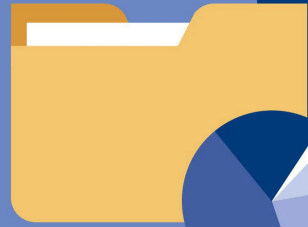
LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN





LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN





Zensus 2022

@zensus2022



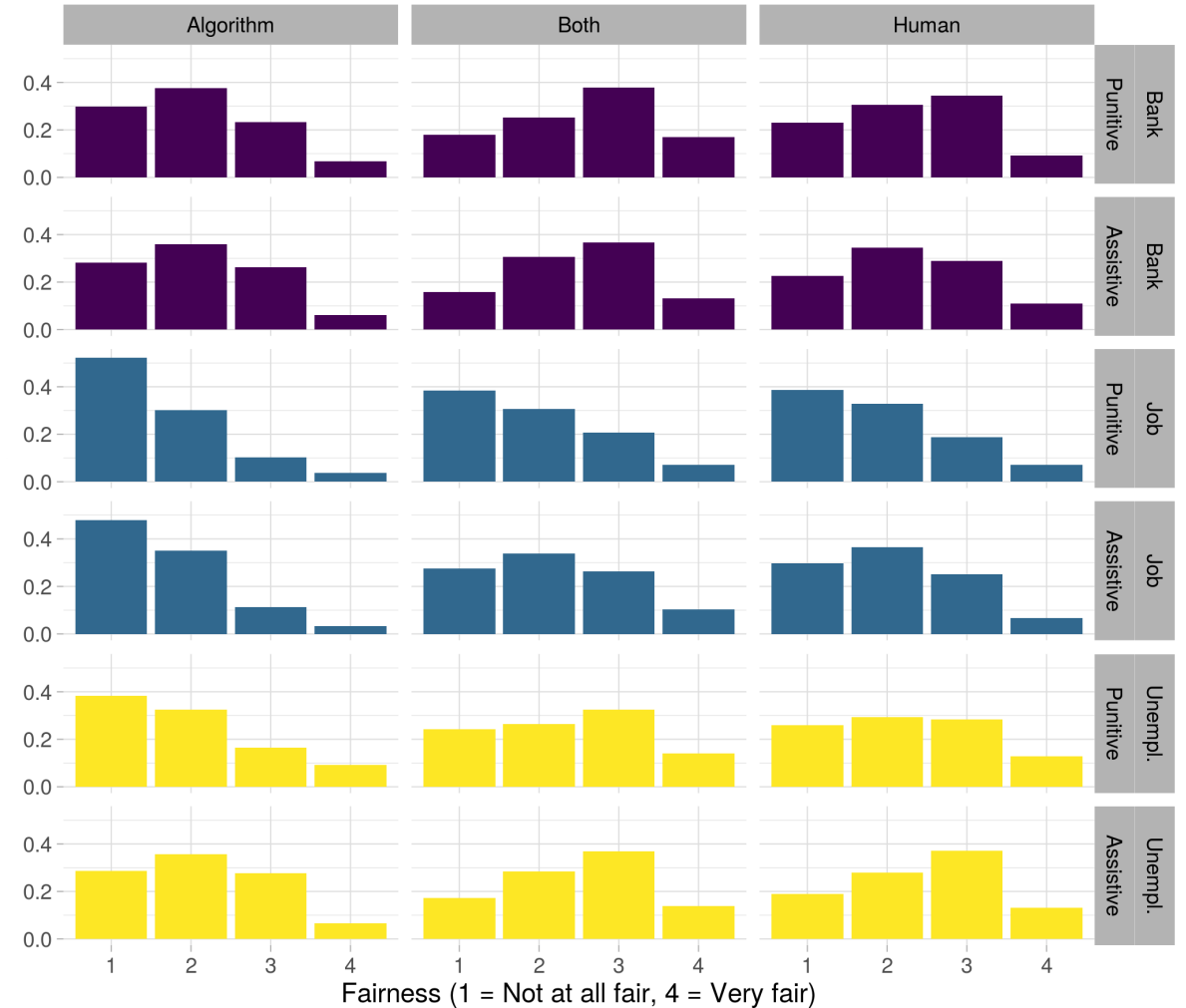
1. Decisions about fairness are independent of AI
2. Data access and data linkage are indispensable
- 3. Contextual integrity as guiding principle**
4. Two new initiatives @ LMU

Context matters

- Probability-based longitudinal online survey
- July 2021 n = 4,108 respondents

Vignette Dimensions

1. The **context** in which an ADM system is applied
2. Type of **action** the decision effects
3. Type of **data** used to inform decision
4. The degree of **human involvement** in decision-making



Context matters

Recipient:

- Public authority
- Company

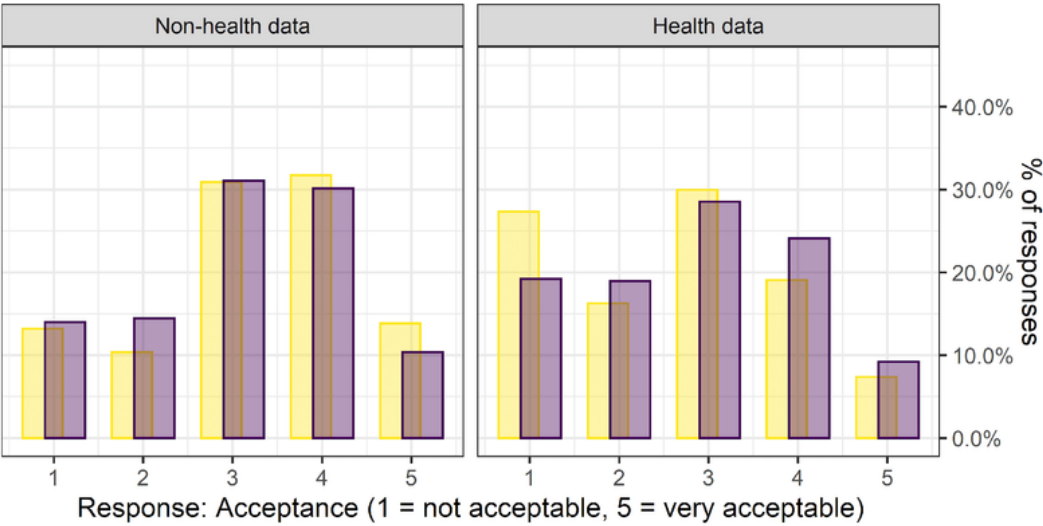
Data type:

- Health: Sensors on a smartphone collect data on the health condition
- Location: Smartphones collect location data during car rides
- Energy: Intelligent power meters collect data on the energy consumption

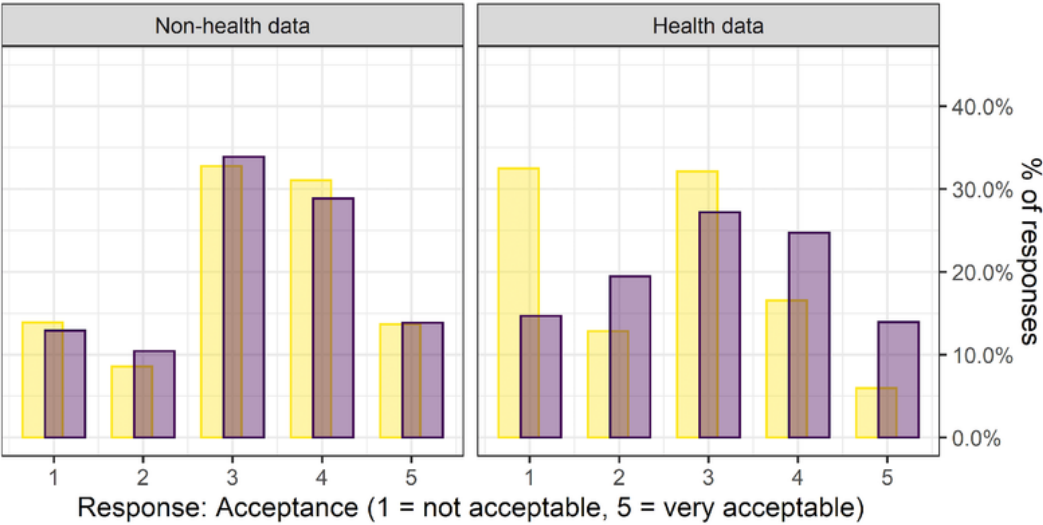
Sensors installed on a smartphone collect data on the health condition of the holders (e.g., heart rate). With consent of the holder, these data are transmitted to a public authority. The public authority uses these data to detect the spread of infectious diseases in the population early and to develop solutions to their containment. The data are safe, anonymous, and protected from misuse.

Depending on data type:	Private purpose	Public purpose
Health	... personal recommendations on health behavior	...to detect outbreaks of infectious diseases early and to develop solutions to their containment.
Location	... personal recommendations on driving behavior and routes	...to develop improvements of the local infrastructure
Energy	... personal recommendations on the optimization and reduction of the own energy consumption	... to develop a more efficient energy distribution system

Cross-sectional samples



Longitudinal sample



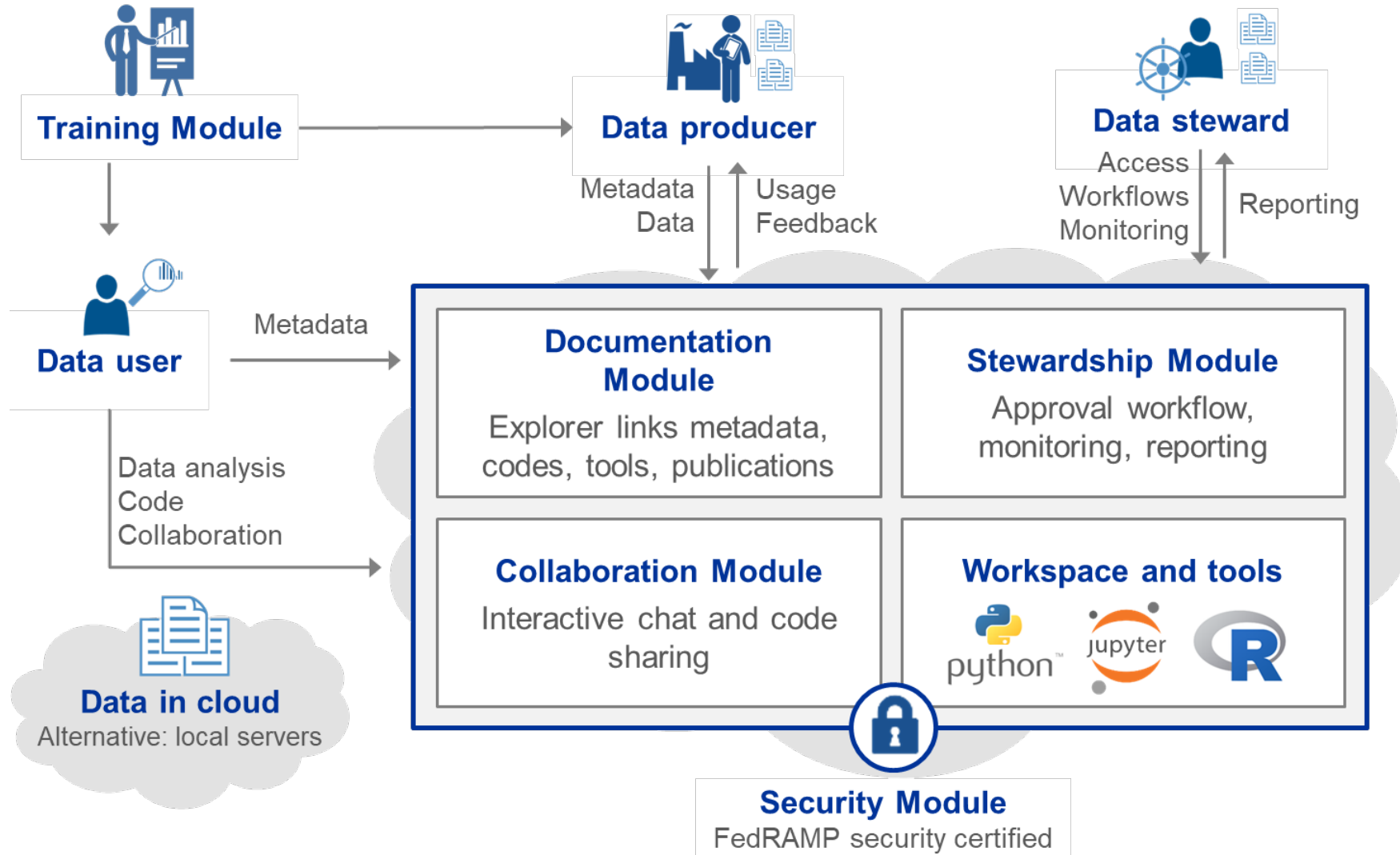
Wave 2019 2020

Gerdon, Nissenbaum, Bach, Kreuter & Zins. 2021. Harvard Data Science Review <https://doi.org/10.1162/99608f92.edf2fc97cc-by-4.0>



LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN

Context matters for AI model training and sharing

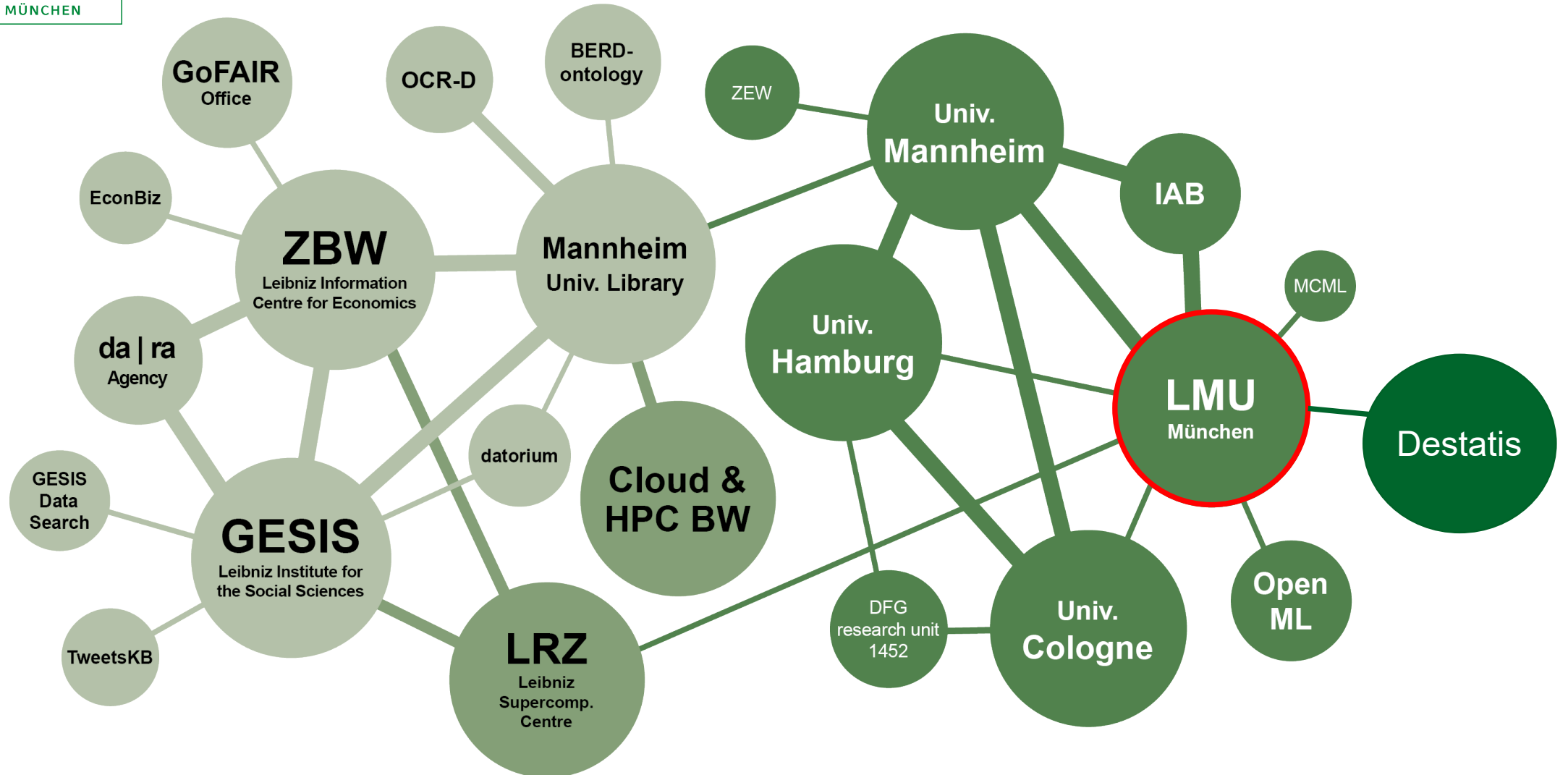


Kreuter, F., Ghani, R., & Lane, J. (2019). Change Through Data: A Data Analytics Training Program for Government Employees. *Harvard Data Science Review*, 1(2). <https://doi.org/10.1162/99608f92.ed353ae3> <https://coleridgeinitiative.org/>

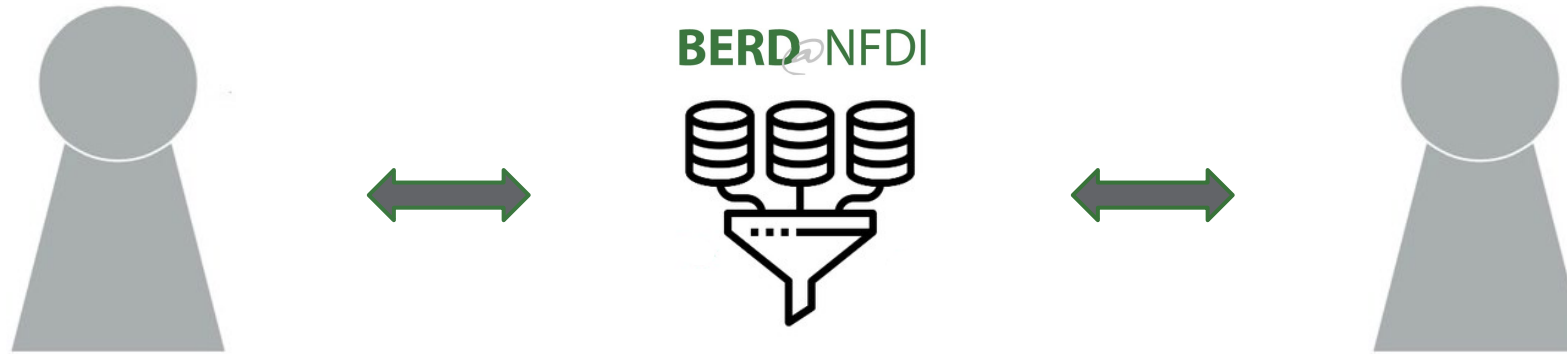


1. Decisions about fairness are independent of AI
2. Data access and data linkage are indispensable
3. Contextual integrity as guiding principle
- 4. Two new initiatives @ LMU**

BERD@NFDI – Stat@LMU + Destatis



BERD as an Open Platform for Analysis



Domain Specialist

- Define task in accordance with theory
- Refine theory based on results

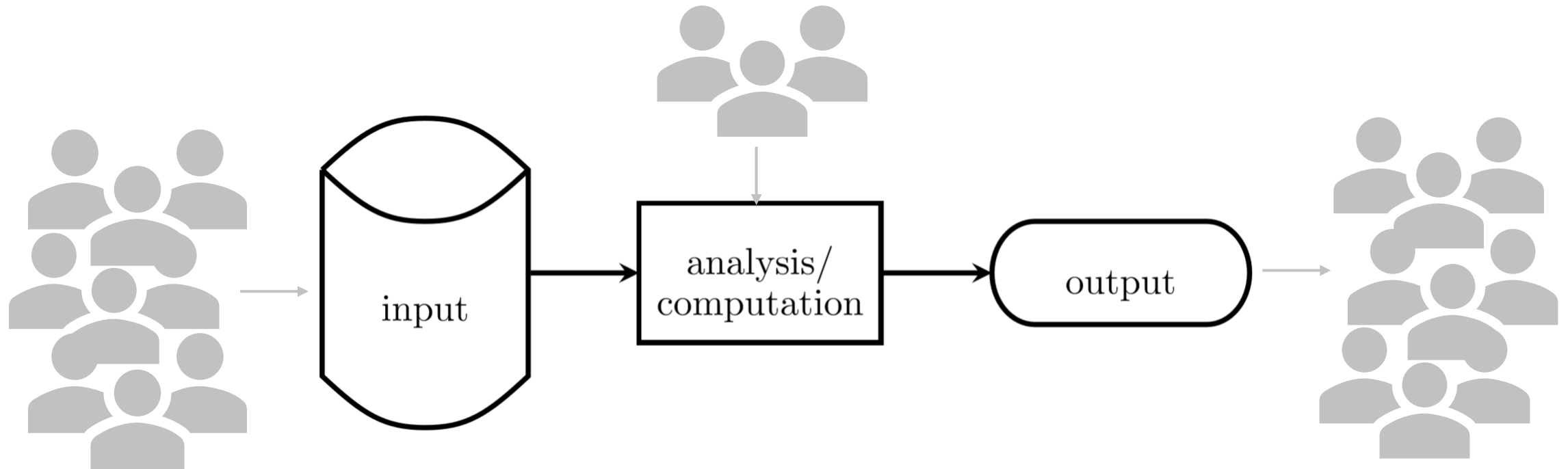
BERD

- Interactive notebooks
- Similarity search on studies
- AutoML removes drudge work
- ...

Data Scientist

- Map task to analysis
- Refine and optimize analysis pipelines

BERD facilitates optimal collaboration between domain specialists and data scientists



In sum: Good AI rests on good data

- Decisions about fairness are independent of AI
- Data access and data linkage are indispensable
- Contextual integrity as guiding principle



Safe projects



Safe people



Safe settings



Safe data



Safe exports



LUDWIG-
MAXIMILIANS-
UNIVERSITÄT
MÜNCHEN

Frauke Kreuter
frauke.kreuter@lmu.de · THANK YOU

